

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11345.006002

In Re Application Of: **Michel MAILLARD**

Serial No.

Filing Date  
August 13, 2001

Examiner

Group Art Unit

Title: **METHOD AND APPARATUS FOR RECORDING OF ENCRYPTED DIGITAL DATA**

JC997 U.S. PTO  
09/928648  
08/13/01

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



**22511**

PATENT TRADEMARK OFFICE

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_) on \_\_\_\_\_ (Date)

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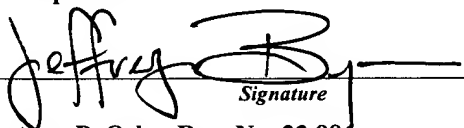
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*Signature*

**#45,925**

Dated: August 13, 2001

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

11345.006002

Application Number

Applicant(s)

Michel MAILLARD

Filing Date

August 13, 2001

Group Art Unit

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	4,908,834	03/13/1990	Wiedemer	380	5	09/22/1987
	A2	5,825,876	10/20/1998	Peterson, Jr.	380	4	02/12/1996
	A3	5,991,400	11/23/1999	Kamperman	380	9	10/29/1996
	A4	6,055,314	04/25/2000	Spies et al.	380	21	03/22/1996

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A5	EP 0 858 184 A2	08/12/1998	EPO				
	A6	WO 97/16924	05/09/1997	WIPO				
	A7	GB 2 132 860A	07/11/1984	UK				
	A8	GB 2 322 030A	08/12/1998	UK				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>Michel MAILLARD</b>			Docket No. <b>11345.006002</b>	
Serial No.	Filing Date <b>August 13, 2001</b>	Examiner	Group Art Unit	
Invention: <b>METHOD AND APPARATUS FOR RECORDING OF ENCRYPTED DIGITAL DATA</b>				
<p>I hereby certify that the following correspondence:</p> <div style="border: 1px solid black; height: 50px; width: 100%;"></div> <p><b>Information Disclosure Statement</b></p> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on</p> <p style="text-align: center;"><u><b>August 13, 2001</b></u> <i>(Date)</i></p> <div style="text-align: right; margin-top: 20px;"><p><u><b>Wendy L. Hippe</b></u> <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p><u><i>Wendy L. Hippe</i></u> <i>(Signature of Person Mailing Correspondence)</i></p><p><u><b>EL656799185US</b></u> <i>("Express Mail" Mailing Label Number)</i></p></div>				
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